

## End-of-life decisions in neonates and infants

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1 **End-of-life decisions in neonates and infants: a nationwide mortality follow-back survey**

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1 **Summary box**

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3 **What is already known?**

- 4 • Deaths of infants are often preceded by possibly life-shortening end-of-life decisions such as  
5 withholding or withdrawing treatment or administering medication.
- 6 • The only available trend data worldwide is from the Netherlands and dates back almost a decade  
7 and indicates important shifts.

8 **What are the new findings?**

- 9 • Our nationwide evaluation of the prevalence of neonatal end-of-life decisions in Belgium in  
10 1999-2000 and 2016-2017 showed that the prevalence of end-of-life decisions remained stable  
11 at 60%, with non-treatment decisions occurring in about 35% of all deaths.
- 12 • A non-negligible group of 7% of neonate and infant deaths at timepoint 1 and 10% at timepoint  
13 2 was preceded by a decision to administer medication with an explicit life-shortening  
14 intention, which is clinically significant as it was contrary to both the expectations of consulted  
15 experts and the decreasing trend figures from the Netherlands.

16 **What is their significance?**

- 17 • Our findings call for an open multidisciplinary debate including for example nursing staff and  
18 family members, based on clinical as well as ethical and juridical reflections from different  
19 jurisdictions, and to discuss the need for international guidelines.

20

1 **Abstract**

2 Objectives: Neonatology has undergone important clinical and legal changes, however, the  
3 implications for end-of-life decision-making in seriously ill neonates to date are unknown. Our aim  
4 was to examine changes in prevalence and characteristics of end-of-life decisions in neonatology.

5 Methods: We performed a nationwide mortality follow-back survey in August 1999 to July 2000 and  
6 September 2016 to December 2017 in Flanders, Belgium. Data were linked to information from death  
7 certificates. For each death under the age of one, physicians were asked to complete an anonymous  
8 questionnaire about which end-of-life decisions were made preceding death.

9 Results: The response rate was 87% in 1999-2000 (253/292) and 83% in 2016-2017 (229/276). The  
10 proportion of deaths of infants born before 26 weeks' gestation increased (14% vs 34%,  $p=0.001$ ).

11 Prevalence of ELDs remained stable at 60%, with non-treatment decisions occurring in about 35% of  
12 all deaths. Use of medication with an explicit life-shortening intention was prevalent in 7-10% of all  
13 deaths. In early neonatal death (< 7 days old) medication with an explicit life-shortening intention  
14 decreased from 12% to 6%, in late neonatal death (7-27 days old) it increased from 0% to 26%, and in  
15 post neonatal death (>27 days old) it increased from 2% to 10%.

16 Conclusions: Over a timespan of 17-year the prevalence of neonatal end-of-life decisions has  
17 remained stable. A substantial number of deaths was preceded by the intentionally hastening of death  
18 by administering medication. While surveying solely the physician perspective in this paper, there is  
19 a need for an open multidisciplinary debate including for example nursing staff and family members,  
20 based on clinical as well as ethical and jurisdictional reflections to discuss the need for international  
21 guidelines.

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## 1 **Introduction**

2 Despite a rise in prenatal diagnostic procedures and neonatal interventions<sup>1,2</sup>, about one in 100 live-  
3 born children in developed countries dies before the age of one<sup>3,4</sup>. Many of these deaths are preceded  
4 by a possibly life-shortening end-of-life decision (ELD)<sup>5-9</sup>, including non-treatment decisions or  
5 administering pain and/or symptom relief medication<sup>7,10</sup>. Such medical decisions can be made taking  
6 the potentially life-shortening effect into account, co-intending the life-shortening effect without it  
7 being the main goal of the treatment, or explicitly intending to shorten the life of the infant<sup>7,10</sup>.

8 As medical practice continued to evolve and new and improved treatment options have become  
9 available, changes in treatment have occurred, for example an increase in “active” treatment for extreme  
10 premature babies<sup>11</sup>. Such changes can lead to discussions about the usefulness of continuing therapies  
11 or ELD making, suggesting new information is required. Previous research is both outdated and usually  
12 has as an important limitation that the physician was often the unit of analysis and not the decedent,  
13 meaning that reliable estimates of ELDs were impossible<sup>5-9,12-16</sup>. Case-based studies are required with  
14 the total population of neonatal deaths as denominator. The only reliable trend figures available are  
15 from death certificate studies in 1995, 2001, 2005 and 2010<sup>13</sup> in the Netherlands, indicating an increase  
16 in the prevalence of non-treatment decisions and a decrease in the prevalence of drug administration  
17 with explicit life-shortening intention<sup>13</sup>. However, as these results come from the only country in the  
18 world in which intentionally hastening death in neonates with a severe condition under strict  
19 guidelines<sup>17</sup> are legally regulated via the Groningen protocol, we think that these findings are not valid  
20 for jurisdictions without such a legal regulation. Therefore, an evaluation of changes in prevalence of  
21 ELDs in neonatology in different jurisdictions is warranted.

22 We address following research questions: 1) to what extent has the prevalence of different ELDs in  
23 neonates changed over time 2) have the underlying reasons for the ELD changed over time and 3) have  
24 the socio-demographic and clinical profiles of infants whose death was preceded by these ELDs  
25 changed over time.

## 26 **Methods**

1 Design, setting and participants

2 We conducted a nationwide mortality follow-back survey based on a cohort of all infants under the age  
3 of one residing in Flanders who died between August 1999 and July 2000<sup>7</sup> (timepoint 1) and September  
4 2016 and December 2017 (timepoint 2). Flanders is one of the three semi-autonomous regions of  
5 Belgium with autonomy over the quality of health care. We included only deaths of Flemish residents  
6 to provide prevalence rates in a set population within 1 semi-autonomous region. The design of both  
7 studies was identical. The longer inclusion period in timepoint 2 was specifically chosen to ascertain a  
8 population large enough to ensure significant power to provide reliable trend analysis, based on  
9 information from the central administration authority<sup>18</sup>.

10 All deaths were processed by the central administration authority (the Flemish Agency for Care and  
11 Health). All cases were identified through the death certificates signed within the inclusion period. The  
12 physician fills out the main part of the certificate, indicating demographic information and clinical  
13 information e.g. cause of death<sup>10</sup>. For each death, within four months of its occurrence the attending  
14 physician was asked to complete a questionnaire. A robust method was implemented using a trusted  
15 third party as intermediary to ensure data protection and reidentification risk<sup>10</sup>. The Total Design  
16 Method was followed, including a maximum of three follow-up postal mailings<sup>19</sup>. The study design,  
17 mailing and anonymity procedure are described elsewhere<sup>10</sup> (Appendix 1). STROBE guidelines were  
18 used for reporting (Appendix 2).

19 Questionnaire and variables

20 The questionnaires at timepoint 1 and timepoint 2 included questions about which ELDs were made,  
21 the accompanying decision-making process, the involvement of parents in this process, the involvement  
22 of colleagues and experts, and the ELD policy of the hospital. Questions on which decisions were made  
23 were identical to ensure comparability. On other aspects both questionnaires varied, as terminology and  
24 grammar was updated, term ambiguity and length of the questionnaire were reduced, and additional  
25 cognitive testing took place<sup>10</sup>. A Dutch version of questions used in this publication was added in  
26 Appendix 3.

1 Both questionnaires, at timepoint 1<sup>7</sup> and at timepoint 2, first asked whether death had been sudden and  
2 unexpected; if not, an ELD was considered possible and physicians were asked whether they had:

- 3 1. withheld or withdrawn life-prolonging medical treatment taking into account or explicitly  
4 intending hastening death
- 5 2. intensified administration of medication, taking into account or co-intending hastening  
6 the death or
- 7 3. prescribed, supplied or administered medication with the explicit intention of hastening  
8 death.

9 When more than one ELD was noted, that with the most explicit life-shortening intention was deemed  
10 most important and used for analysis; if more than one ELD with the same life-shortening intention was  
11 noted, administration of drugs ('active') prevailed over withholding or withdrawing treatment  
12 ('passive'). Also the most important reason for the ELD was asked. For a detailed description of the  
13 ELD categories and examples of which medical decisions could be included in each category, we refer  
14 to the published study protocol of this study<sup>10</sup>.

15 The demographic and clinical patient data (place of death, sex, age at death, gestational age at birth and  
16 cause of death) were obtained from the death certificates. We used a deterministic linkage procedure to  
17 link death certificate with questionnaire data, and small cells analysis to ensure that linked death  
18 certificate data would prevent reidentification.

19 A clinically relevant categorization for the cause of death was developed to achieve homogenous groups  
20 with a similar cause of death. This categorization (box 1) was evaluated, in terms of completeness to  
21 classify all possible causes of death and clarity of descriptions, by four physicians working in neonatal  
22 and prenatal care. Cases were sorted into one of the categories by a FC and LDm based on the  
23 underlying cause of death (ICD-10 codes) on the death certificate. When main cause of death was  
24 inconclusive, ICD-10 codes of other associated causes of death were taken into account.

## 1 Statistical analysis

2 To examine non-response bias demographic variables were compared by means of chi-square tests,  
3 Fisher's exact tests or Kruskal Wallis tests. To compare changes over time in the prevalence of  
4 different types of ELDs and the socio-demographic and clinical characteristics (sex, age at death,  
5 gestational age at birth, and cause of death) associated with different types of ELDs Chi-square tests  
6 and two-tailed Fisher's exact tests were used. Multivariable binary logistic regression was performed  
7 with ELD (yes/no) as dependent variable, and study period, age at death, gestational age at birth and  
8 cause of death as independent variable to account for possible confounding of the demographical  
9 variables. Additionally, a multivariable binary logistic regression model with these main effects and  
10 the interaction effects of these with the study period was performed to examine shifts in prevalence of  
11 an ELD in certain demographical groups over both periods, controlling for confounding of study  
12 period, age at death, gestational age at birth and cause of death. Multivariable analysis for the separate  
13 types of ELDs were not made due to small sample sizes.

## 14 **Results**

15 We received 253 questionnaires for 292 deaths at timepoint 1 (87% response rate) and 229 completed  
16 questionnaires for 276 deaths at timepoint 2 (83% response rate). No significant differences in  
17 demographic characteristics between deaths with and without a response was found (Appendix 4),  
18 therefore weighing of results was not necessary.

19 The cohorts in both timepoints were similar in terms of place of death (respectively 89% vs 92% in  
20 hospital), age at death (50% vs 55% in the first seven days of life) and sex (Table 1). Statistically  
21 significant differences between both cohorts were found for gestational age (proportion of infant  
22 decedents born before 26 weeks of gestation was lower at timepoint 1 [14%] than at timepoint 2 [34%]  
23 than; p-value= 0.001) and cause of death (lower proportion of complications of pregnancy with [17 to  
24 12%] and without repercussions for the foetus [15 to 8%], more 'other' causes of death [16 to 7%] at  
25 timepoint 1 than timepoint 2; p-value = 0.01).



1 No statistically significant differences in prevalence of types of ELDs were found between the  
2 timepoints in univariable and multivariable analyses (Table 2). An ELD was made in 57% at timepoint  
3 1 and in 61% at timepoint 2. The most common ELD was a non-treatment decision (34% at timepoint  
4 1 and 37% at timepoint 2). Administration of medication taking into account a possible life-shortening  
5 effect was intensified in 16% at timepoint 1 and 14% of deaths at timepoint 2. Medication with an  
6 explicit intention to shorten life was administered in 7% (17 cases) at timepoint 1 and 10% (24 cases)  
7 at timepoint 2. At timepoint 1 opioids were used in 14 cases; in five a muscle relaxant was administered  
8 in association. In three cases, potassium chloride was used. At timepoint 2, opioids were used in 20  
9 cases, in 11 of those an additional sedative (barbiturates or benzodiazepines) and in four an additional  
10 muscle relaxant. In two cases a sedative only was given and in one a muscle relaxant only (not in table,  
11 type of drug info was missing for one case in 2016-2017).

12 Significant and substantial changes occurred within subpopulations depending on age at death (Table  
13 3). At timepoint 2 ELDs were made significantly less often than at timepoint 1 in infants under the age  
14 of seven days (p-value = 0.01; 72% vs 55%). In those who died between seven and 27 days and those  
15 over 27 days, ELDs were made significantly more often at timepoint 2 than at timepoint 1 (50% vs 74%  
16 and 38% vs 64% respectively, p-values = 0.03 and 0.003). The increase can mostly be seen in those  
17 who died after withdrawal of treatment (9% vs 26% between 7-27 days and 16% vs 31% over 27 days  
18 old) and those who received intensified administration of medication with an explicit life-shortening  
19 intention (0% vs 26% between 7-27 days and 2% vs 10% over 27 days). The statistically significant  
20 differences between the two study periods in the prevalence of ELDs depending on the age of the infant  
21 were confirmed when controlling for possible confounding in the multivariable binary logistic  
22 regression (data not shown).

23 Univariate analysis revealed that, in infants born at full term (>37 weeks of gestation), the decision to  
24 withdraw treatment was made more often at timepoint 2 (34%) than at timepoint 1 (20%, p-value =  
25 0.04) (table 3). No other differences in sociodemographic or clinical patterns for the specific ELDs were  
26 observed between cohorts.

1 In 60% of all ELD cases at timepoint 2, ‘no real chance of survival’ was indicated and in 50% ‘no hope  
2 of a bearable future’ (only available for timepoint 2, not in table). Where treatment was withheld or  
3 withdrawn, or medication without an explicit life-shortening intention was given, the main reason given  
4 was ‘no real chance of survival’ (62%, 76% and 62% respectively). Where medication was administered  
5 with an explicit life-shortening intention, the main reason was ‘no hope of a bearable future’ (91%).

## 6 **Discussion**

7 This nationwide mortality follow-back survey on end-of-life decisions in neonates and infants indicates  
8 that, when comparing a cohort of all infant decedents under the age of one between August 1999 and  
9 July 2000 and September 2016 and December 2017, modest changes occur in the prevalence of end-of-  
10 life decisions on population level, however, substantial changes occur within the subpopulations of age  
11 groups. Deaths preceded by an ELD remained at about 60%, with non-treatment decisions being about  
12 35%. ELDs taken decreased in early neonatal deaths but increased in late and post neonatal deaths. In  
13 both study timepoints a non-negligible group of deaths was preceded by a decision to intentionally  
14 hasten death by administering medication (7% in 1999-2000, 10% in 2016-2017).

15 We found a non-negligible proportion of infants who died after administration of medication with an  
16 explicit life-shortening intent, namely 7% of all deaths 17 years ago and 10% now. This result contrasts  
17 sharply with the decrease in the use of medication with explicit life-shortening intention which was  
18 seen in the Netherlands from 8% of all neonatal deaths in 2005 to 1% in 2010<sup>13</sup>. In the Netherlands,  
19 intentionally hastening death in extremely ill neonates is not prosecuted under strict guidelines in the  
20 Groningen protocol<sup>17</sup>. Evaluation of whether all due care criteria were applied in a specific case happens  
21 retrospectively, after which a decision is made whether or not a prosecution is warranted<sup>17</sup>. In Belgium  
22 such a protective framework is lacking and intentionally hastening death by means of medication is  
23 thus not legally permissible. Possibly, the Groningen protocol, by setting up specific and detailed rules  
24 and procedures, has discouraged physicians in the Netherlands from engaging in practices to  
25 intentionally hasten death. Alternatively, it might have led to a different understanding of what  
26 constitutes death-hastening medical interventions with and explicit life-shortening intention in extreme

1 cases and administering medication taking into account a possible life-shortening effect in other cases.  
2 Evaluation of this practice of end-of-life care in other jurisdictions seems indicated, as it may provide  
3 input for a societal debate about the need for revised guidelines, protocols or laws to shape end-of-life  
4 practice in neonatal and infant care and about the need for further research and evaluation to monitor  
5 and understand these decisions.

6 Increased doses of sedatives and opioids were reported in the majority of cases where medication with  
7 an explicit life-shortening intention was administered. We can presume that this medication was  
8 administered to relieve the suffering of the neonates and infants for whom there was no hope of a  
9 bearable future or those who would not survive without life sustaining interventions, even when death  
10 was hereby hastened. This clinical practice fits within a palliative care context and decisions are  
11 probably made in the best interest of the child. It should be noted that the prevalence of administering  
12 medication with an explicit life-shortening intention in Flanders is considerably higher in neonates and  
13 infants (10%) as compared to minors (8%)<sup>20</sup> and adults (6%, including euthanasia)<sup>21</sup>, raising the  
14 question of whether this practice needs to be subject of more monitoring and evaluation in neonatology.

15 We found increased amounts of ELDs in late and post neonatal deaths compared to 1999/2000. There  
16 is an increased availability of improved medical treatments<sup>2</sup> since that time, such as amongst others  
17 increased use of antenatal corticosteroid- and postnatal surfactant administration<sup>2</sup>, lung-protective  
18 ventilation strategies (CPAP)<sup>2</sup> and hypothermia treatment for neonatal encephalopathy<sup>22</sup>, leading to  
19 lower annual infant mortality rates in Flanders in 2016-2017 compared to 1999-2000<sup>3,23</sup>. This increased  
20 availability of treatment options might have led to more active initial therapeutic approaches in severely  
21 ill neonates, who previously would have died shortly after birth without initiation and hence also  
22 withdrawal of these treatments.

23 The decrease of the use of ELDs in the first week of life can possibly be related to the noticeable change  
24 in the population of neonatal deaths with a larger proportion of decedents now being extremely  
25 premature (<26 weeks of gestation). In contrast with 17 years ago, intensive care is now systematically  
26 offered to infants born at 24 weeks' gestation in Flanders<sup>24</sup>. Mortality in these extremely premature

1 infants is still high, however<sup>25</sup>, and they often die during the first week of life despite active treatment,  
2 without an ELD. In future research on end-of-life decisions in neonates, ample attention to extremely  
3 premature infants should therefore be given.

4 Despite changes in the prevalence of ELDs in specific age groups, the overall prevalence of ELDs stays  
5 relatively stable at about 60% of all neonatal deaths in Flanders, which is similar to that in the  
6 Netherlands (63%)<sup>13</sup>. Similarly, the proportion of ELDs is higher in neonates than in children aged one  
7 to 17 years (36%)<sup>20</sup> and adults (48%)<sup>21</sup>. This is not surprising since deaths occurring in adults and minors  
8 are more often sudden and unexpected, such as accidents or trauma, making ELDs impossible. The  
9 most prevalent neonatal ELDs are non-treatment decisions (35%), specifically withdrawing of life-  
10 prolonging treatment, which occurs in about one in four cases, a prevalence estimate comparable with  
11 non-population-based studies across Europe<sup>6,14-16</sup>.

## 12 Conclusion

13 In conclusion, our nationwide surveys on ELDs showed that the proportion of infant deaths preceded  
14 by an ELD has remained relatively stable at about three in five, confirming that non-treatment  
15 decisions as well as intentionally hastening death by means of medication continue to be an integral  
16 part of medical practice in severely ill neonates in Flanders, Belgium. Our observed trend differs from  
17 those in the Netherlands, the only other country with reliable population-based prevalence rates. It  
18 could be questioned whether the prevalence of ELDs in the rest of the world are following the Belgian  
19 or the Dutch outcome. For Belgium neonatology practice, it should be debated whether guidelines or  
20 protocols should facilitate and improve the guidance of neonatologists and parents in taking end-of-  
21 life decisions for these extremely ill newborns and infants.

## 22 Strengths and limitations of the study

23 We achieved high response rates (83% and 87%) by using a design with a rigorous procedure, making  
24 conclusions valid for the entire population of deceased infants under the age of one irrespective of care  
25 setting or diagnosis. The questionnaire was developed based on existing questionnaires on ELDs in

1 neonates<sup>7,13</sup>, minors<sup>20</sup> and adults<sup>26,27</sup>, ensuring comparability over time, settings, countries and age  
2 groups. Socially desirable answers or unwillingness to participate were reduced by ensuring anonymity.  
3 Recall and memory bias cannot be excluded since questionnaires were filled out up to four months after  
4 death. A questionnaire with closed multiple-choice answers is less suitable for in-depth study of the  
5 decision-making process, as it fails to reflect the depth and reasoning behind a decision. Although other  
6 actors in the decision-making process such as parents or nurses can provide useful information, we  
7 deemed the physician perspective as most important to report on the medical decisions made. However,  
8 our method is deemed the best method to study ELDs on a population level.

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7  
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10  
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1 **Box 1: Cause of death categories in neonatology**

2

The following cause of death categories were identified:

- Prematurity and related disorders: Death due to a direct cause of prematurity, immaturity or disorders related to prematurity. For example, necrotizing enterocolitis, intraventricular hemorrhage, respiratory distress syndrome, or death due to (extremely) low birth weight or low gestational age.
- Congenital anomalies - singular: Death due to a single congenital anomaly with a defect in one organ or organ system. For example, a congenital malformation of the heart or a spina bifida.
- Congenital anomalies - multiple or systemic disorders: Death due to the presence of multiple congenital anomalies in different organ systems, or due to a disorder that affects multiple organ systems. For example, chromosomal disorders, multiple congenital malformations diagnosed in one infant, or an inborn error of metabolism.
- Complications of pregnancy with repercussions on foetal growth or development: Infant died due to complications of pregnancy that had an influence on the growth or the health of the baby prenatally. For example, a cytomegalovirus infection with congenital infection of the foetus, or pre-eclampsia with severe intrauterine growth restriction.
- Acute complications of pregnancy and/or birth in a previously healthy foetus. For example, a placental abruption or birth trauma causing oxygen deprivation.
- Disorders acquired after birth: Death due to a non-congenital disorder, acquired after birth of a previously healthy baby. For example, infectious diseases resulting in multiple organ failure.
- Other: Cause of death was sudden, without previous diagnoses. Examples are sudden infant death syndrome, accidents or trauma.

3

1 **Table 1: demographic and clinical characteristics of deceased neonates and infants in**  
 2 **1999-2000 and 2016-2017**  
 3

	1999-2000		2016-2017		
	12 months		16 months		
All infant and neonatal deaths in study	293		276		
period					
All infant and neonatal deaths for which a response was received (response percentage)	253 (86%)		229 (83%)		
	N	%	N	%	P-value
<b>Place of death<sup>a</sup></b>					0.34 <sup>a</sup>
Hospital	225	89	210	92	
NICU		N/A	115	50	
Other hospital ward		N/A	95	41	
Home	18	7	15	7	
Other	10	4	4	2	
<b>Age at death<sup>b</sup></b>					0.11
Early neonatal death (<7 days)	127	50	125	55	
Late neonatal death (7-27 days)	34	13	43	19	
Post neonatal death (>27 days)	92	36	61	27	
<b>Sex<sup>c</sup></b>					0.46
Male	147	58	135	59	
Female	106	42	94	41	
<b>Gestational age at birth<sup>b</sup></b>					0.001
< 26 weeks	36	14	72	34	
26-28 weeks	38	15	28	13	
29-31 weeks	19	8	10	5	
32-36 weeks	57	23	25	12	
≥ 37 weeks	101	40	76	36	

Cause of death <sup>d, e</sup>	0.01			
Prematurity and related disorders	47	19	47	21
Congenital anomalies singular	39	16	38	17
Congenital anomalies multiple or systemic disorders	48	19	34	15
Complications of the pregnancy with repercussions on foetal growth or development	30	12	40	17
Acute complications of pregnancy and/or birth in a previously healthy foetus	20	8	34	15
Disorders acquired after birth	26	10	19	8
Other	41	16	17	7

Percentages are column percentages calculated with all cases for which a response was received as the denominator. Missing values were limited: dataset of 1999-2000: gestational age, n=2 (0.8%), cause of death, n=2 (0.8%). Dataset 2016-2017: gestational age, n=18 (7.9%). Percentages were calculated without these missing cases.

<sup>a</sup> Differentiation between NICU and other hospital wards was only possible in the 2016-2017 dataset. Chi-square analysis were performed with three categories (hospital, home, other).

<sup>b</sup> Kruskal Wallis tests were used to compare differences for age at death and gestational age at birth between both time periods

<sup>c</sup> Two-tailed Fisher's exact tests were used to compare differences in sex between time periods.

<sup>d</sup> Pearson Chi-square tests were used to compare differences in cause of death between time periods.

<sup>e</sup> See box 1 for description of the cause of death categories

N/A: not asked.

**Table 2: Prevalence of end-of-life decisions (ELDs) in neonatology in Flanders, Belgium in 2016-2017 vs 1999-2000**

	1999-2000		2016-2017		p-value <sup>a</sup>
	(12 months)		(16 months)		
	n= 253 <sup>b</sup>		n= 229 <sup>b</sup>		
	N	%	N	%	
No ELD possible (death entirely sudden and unexpected)	59	23	46	20	0.23
ELD possible, but not made (death non-sudden)	51	20	43	19	0.73
ELD made	143	57	140	61	0.31
Non-treatment decision	86	34	85	37	0.51
Withholding treatment	32	13	27	12	0.78
Withdrawing treatment	54	21	58	25	0.33
Use of drugs	57	23	55	24	0.75
Medication with hastening death taken into account or co-intended	40	16	31	14	0.52
Medication with an explicit intention to hasten death	17	7	24	10	0.15

When more than one ELD was noted by physicians, only the most important decision was used. The most important decision is the decision with the most explicit life-shortening intention. When more than one ELD with the same life-shortening intention was noted, administration of drugs (active) prevailed over withholding or withdrawing treatment (passive).

<sup>a</sup> Fisher's exact test: independent variable = study period, dependent variable = ELD type present yes/no.

<sup>b</sup> Column percentages: percentage of cases in that study period with that type of ELD category.

**Table 3: ELD prevalence in different patient groups by sociodemographic and clinical characteristics over time; 1999-2000 versus 2016-2017**

	<u>Any ELD<sup>a</sup></u>			<u>Non-treatment decision<sup>a</sup></u>						<u>Use of drugs<sup>a</sup></u>					
				<u>Withholding</u>			<u>Withdrawing</u>			<u>Medication with a potentially life-shortening effect</u>			<u>Medication with explicit intention to hasten death</u>		
	1999-2000	2016-2017	p-value <sup>b</sup>	1999-2000	2016-2017	p-value <sup>b</sup>	1999-2000	2016-2017	p-value <sup>b</sup>	1999-2000	2016-2017	p-value <sup>b</sup>	1999-2000	2016-2017	P-value <sup>b</sup>
<b>Sex</b>															
Male	58%	64%	0.33	14%	13%	0.86	20%	26%	0.32	16%	13%	0.40	7%	12%	0.15
Female	55%	57%	0.78	10%	10%	0.99	23%	24%	0.87	15%	15%	0.99	7%	9%	0.79
<b>Age at death</b>															
Early neonatal death (<7 days)	72%	55%	0.01	18%	18%	0.99	28%	22%	0.31	13%	10%	0.43	12%	6%	0.12
Late neonatal death (7-27 days)	50%	74%	0.03	15%	2%	0.08	9%	26%	0.08	26%	21%	0.60	0%	26%	N/A
Post neonatal death (>27 days)	38%	64%	0.003	4%	7%	0.71	16%	31%	0.05	15%	16%	0.99	2%	10%	0.06
<b>Gestational age at birth</b>															
< 26 weeks	61%	57%	0.84	25%	19%	0.62	11%	18%	0.41	17%	10%	0.35	8%	10%	0.99
26-28 weeks	74%	71%	0.99	16%	11%	0.72	29%	21%	0.58	18%	21%	0.77	11%	18%	0.48
29-31 weeks	68%	80%	0.67	16%	0%	N/A	11%	30%	0.31	21%	30%	0.66	21%	20%	0.99
32-36 weeks	49%	56%	0.64	9%	8%	0.99	28%	32%	0.79	11%	4%	0.43	2%	12%	0.08

≥ 37 weeks	51%	64%	0.07	9%	7%	0.78	20%	34%	0.04	17%	14%	0.84	5%	9%	0.37
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Cause of death															
Prematurity and related disorders	64%	60%	0.83	15%	11%	0.76	23%	23%	0.99	15%	17%	0.99	11%	9%	0.99
Congenital anomalies singular	72%	74%	0.99	18%	16%	0.99	23%	34%	0.32	23%	16%	0.57	8%	8%	0.99
Congenital anomalies multiple	75%	71%	0.80	25%	12%	0.17	27%	29%	0.99	15%	21%	0.56	8%	9%	0.99
Complications of the pregnancy with repercussions for the foetus	67%	68%	0.99	13%	23%	0.37	17%	20%	0.77	30%	10%	0.06	7%	15%	0.45
Acute complications of the pregnancy and/or birth in a healthy foetus	75%	56%	0.24	10%	6%	0.62	30%	32%	0.99	30%	9%	0.06	5%	9%	0.99
Disorders acquired after birth	38%	63%	0.14	0%	5%	N/A	27%	16%	0.48	8%	16%	0.64	4%	26%	0.07
Other	10%	12%	0.99	0%	0%	N/A	7%	12%	0.62	0%	0%	N/A	2%	0%	N/A

Data was analyzed by means of individual chi-square tests for each demographic characteristic (example all females) with study period as independent variable and the prevalence of the type of ELD (any ELD, withholding treatment, withdrawing treatment, medication with a potentially life-shortening effect and medication with an explicit life-shortening effect) as dependent variable.

<sup>a</sup> Row percentages. Percentage of infants with that sociodemographic or clinical characteristic that received that type of ELD within each study period. Example: percentage of male infants in 1999-2000 that died with any ELD.

<sup>b</sup> P-values represent the significance of difference of the Chi-square test. When significant, the percentage of cases with that clinical or sociodemographic characteristic (ex. Male) is significantly different in that category of ELD (including no ELD) in 1999-2000 compared to 2016-2017.

Missing values in gestational age: 2 cases in 1999-2000 and 18 cases in 2016-2017. Missing values in cause of death: 2 cases in 1999-2000.

N/A: not applicable, one of the cells in the comparison was equal to zero.

