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1 Psychological support in end-of-life decision-making in
2 neonatal intensive care units: full population survey among
3 neonatologists and neonatal nurses

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1 Abstract

2 Background Moral distress and burn-out related to end-of-life decisions (ELDs) in
3 neonates is common in neonatologists and nurses working in Neonatal Intensive Care
4 Units (NICUs). Attention to their emotional burden and psychological support in research
5 is lacking.

6 Aim To evaluate perceived psychological support in relation to ELDs of neonatologists
7 and nurses working in Flemish NICUs, and whether or not this support is sufficient.

8 Design/participants A self-administered questionnaire was sent to all neonatologists and
9 neonatal nurses of all eight Flemish NICUs (Belgium) in May 2017. The response rate
10 was 63% (52/83) for neonatologists and 46% (250/527) for nurses. Respondents indicated
11 their level of agreement (5-point Likert scale) with seven statements regarding
12 psychological support.

13 Results 70% of neonatologists and nurses reported experiencing more stress than normal
14 when confronted with an ELD; 86% of neonatologists feel supported by their colleagues
15 when they make ELDs, 45% of nurses feel that the treating physician listens to their
16 opinion when ELDs are made. About 60% of both neonatologists and nurses would like
17 more psychological support offered by their department when confronted with ELDs and
18 41% of neonatologists and 50% of nurses stated they did not have enough psychological
19 support from their department when a patient died. Demographic groups did not differ in
20 terms of perceived lack of sufficient support.

21 Conclusions Even though NICU colleagues generally support each other in difficult
22 ELDs, the psychological support provided by their department is currently not sufficient.
23 Professional ad hoc counselling or standard debriefings could substantially improve this
24 perceived lack of support.

25 Keywords:

26 Perinatal death; End of Life Care; Decision Making; Questionnaire Design;
27 Psychological Support System; Intensive Care Units, Neonatal

28 Key statements:

29 What is already known about the topic?

- 30 • Neonatologists and nurses who take care of dying neonates in a neonatal intensive
31 care unit (NICU) are prone to develop compassion fatigue or burnout, which
32 could have an influence not only on their personal life but also on their ability to
33 care for patients and parents.

34 What this paper adds

- 35 • Flemish NICU staff members perceived more stress than usual when dealing with
36 ELDs, and even though almost all respondents felt supported by their colleagues,
37 only about half felt that the psychological support they received at their NICU
38 was sufficient.
39 • Only 45% of nurses felt that the treating physicians listen to their opinion
40 regarding ELDs and only 32% felt they can express any objections they might

1 have about ELDs, indicating that nurses are often excluded from the decision-
2 making process.

3 Implications for practice, theory or policy

- 4 • Existing guidelines indicate appropriate solutions to insufficient staff support in
5 NICUs should be considered in Flemish and other NICUs. These solutions
6 include regular debriefings and counselling sessions in order to prevent and
7 counteract the negative consequences of stress.
- 8 • Including nurses in the neonatal end-of-life decision-making process could both
9 increase the quality of these decisions and benefit nurses themselves by reducing
10 moral distress caused by being excluded from this decision-making.

11 **Availability of data and material:**

12 Questionnaires and detailed research protocols (in Dutch) are available upon written
13 request to the corresponding author (Laure.Dombrecht@UGent.be).

14 **Declaration of conflicts of interest:**

15 The authors declared no potential conflicts of interest with respect to the research,
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23 for publication.

1 Introduction

2 Neonatologists and nurses working in neonatal intensive care units (NICUs) often
3 experience moral distress (1,2) especially when an infant in their care can no longer
4 benefit from treatment and a life-shortening end-of-life decision (ELD) is made (1,3).
5 The emotional impact on parents of losing a child and the support needed from both
6 NICU and psychological support staff have previously been studied (4,5) and guidelines
7 on supporting them have been developed by several organisations (3,6,7). However,
8 research on professional support for NICU staff and their coping and emotional burden
9 has been lacking.

10
11 Healthcare professionals often experience suffering and grief as well as moral distress
12 and emotional exhaustion (8,9). Because of this, ICU healthcare professionals in general
13 are prone to developing compassion fatigue and burnout (10,11). In NICUs, survey
14 studies estimate the prevalence of burnout to be 30% in neonatologists (12) and 7.5-
15 54.4% in nurses (13). Developing burnout and compassion fatigue does not only have an
16 impact on their personal life but also affects their ability to care for patients and to have
17 empathy for grieving parents (6,11,12) which could reduce the quality of care overall.
18 Despite these known risks, only one study, after reviewing neonatal end-of-life protocols,
19 recommended colleague and professional psychological support around end-of-life care
20 for NICU staff members (3). Actual research on perceived psychological support by and
21 for NICU professionals is lacking.

22
23 Our study evaluates stress in relation to ELDs, perceived colleague and professional
24 psychological support and whether or not this support is sufficient in neonatologists and
25 nurses working in NICUs and examines whether psychological support differs between
26 socio-demographic or professional groups.

28 Methods

29 Design and participants

30 We performed a full-population mail survey of all neonatologists and neonatal nurses in
31 all eight Flemish NICUs, with full cooperation from all units. A total of 83 neonatologists
32 and 527 nurses were identified by means of personnel files.

34 Data collection

35 A representative working at each NICU handed out the questionnaire to every
36 neonatologist and nurse in their unit in May 2017 (gatekeeper method) inviting them to
37 fill it out anonymously and send it back in a prepaid envelope within one month. This
38 method was preferred to sending a questionnaire directly to every neonatologist and nurse
39 in order to maximise their motivation to participate. Sending back a filled-out
40 questionnaire was seen as informed consent. We obtained ethical approval from the
41 ethical review board of Ghent University Hospital (Registration number:
42 B670201731709).

44 Questionnaire

45 The questionnaire items used in this report consisted of seven socio-demographic
46 questions (see Table 1) and seven questions concerning colleague and professional

1 psychological support, developed by a multidisciplinary team consisting of sociologists,
2 psychologists, neonatologists and a gynaecologist. The questionnaire was cognitively
3 tested with five neonatologists (from four separate hospitals), three neonatal nurses (from
4 two separate hospitals) and one gynaecologist, leading to only minor adjustments in
5 wording.

6 7 Measures

8 The questionnaire included statements about perceived stress, professional psychological
9 support provided by the NICU and psychological support provided by colleagues. We
10 included a statement on the option of expressing protest concerning an ELD, which could
11 be an additional source of distress when this is discouraged. The statements were scored
12 on a 5-point Likert scale. Three of the seven questions differed between neonatologists
13 and nurses because, in the Flemish healthcare setting, physicians are the main decision-
14 makers when it comes to making end-of-life decisions for their patients, mostly during
15 physician team meetings. This while nurses are often not involved in this decision-
16 making process, but they are however involved in the implementation of the medical
17 decisions.

18 19 Statistical analysis (SPSS 24.0)

20 Percentages of disagreement ('totally disagree' and 'disagree'), neutrality and agreement
21 ('agree' and 'totally agree') were calculated for neonatologists and nurses separately.

22 23 Results

24 Across all eight NICUs, the response rate was 63% (52/83) for neonatologists and 46%
25 (250/527) for nurses. In our sample, 71% of neonatologists and 95% of nurses were
26 female (Table 1).

27
28 Most neonatologists and nurses agreed that making an ELD (neonatologists) or being
29 confronted by one (nurses) in neonates causes more stress than usual (72.5% and 70.2%
30 respectively, Table 2). During the decision-making process, most neonatologists (86.3%)
31 agreed that they feel supported by their colleagues. Fewer than half the neonatal nurses
32 (44.6%) agreed that physicians listen to their opinions in making an ELD. While most
33 neonatologists (88.2%) agreed that their NICU provides sufficient opportunity to express
34 protest about certain ELDs, only 31.6% of nurses agreed with this statement. Almost all
35 neonatologists and nurses agreed that they can talk to their colleagues when something is
36 bothering them about an ELD (neonatologists, 94.1%, nurses, 92.4%). When they do not
37 agree with an ELD that has been made, half of neonatologists (52.9%) and 65% of nurses
38 agreed that they can opt to no longer be involved in that case; 57% of neonatologists and
39 60% of neonatal nurses agreed that they would prefer their NICU to provide more
40 psychological support for staff members when they are being confronted with ELDs.
41 About 40% of neonatologists and half of neonatal nurses agreed that they receive
42 sufficient psychological support from their NICU after a patient dies.

43
44 For both groups sex, age (<40 years and ≥40 years), years of experience (≤10 years, >10
45 years), whether or not they are religious and whether they believe their religion has an
46 impact on their attitudes towards ELDs were added. Additionally, we included function

1 for neonatologists (resident or in training) and diploma for nurses (bachelor, masters or
2 graduate degree). None of the demographic variables had a significant influence (not in
3 table).

4 5 Discussion

6 In this survey study concerning stress and perceived psychological support by colleagues
7 or professionals during the neonatal end-of-life decision-making process, we found that
8 both neonatologists and neonatal nurses working in a Flemish NICU experience more
9 stress than usual when dealing with ELDs. Even though almost all feel supported by
10 colleagues, only about half feel that the psychological support they receive is sufficient.
11 Lastly, we could not identify a subgroup based on demographic characteristics that had a
12 higher need for psychological support within our population.

13
14 Most neonatologists and nurses reported having more stress than usual when they make
15 or are confronted with an ELD. They generally felt that they can talk to their peers when
16 something is bothering them regarding an ELD. However, this support from colleagues
17 does not seem sufficient. Our findings show that other, professional, support is often
18 lacking since about 60% of neonatologists and nurses would like their department to
19 provide more psychological support when they are confronted with an ELD, and only two
20 out of five neonatologists and half of nurses feel that they receive sufficient
21 psychological support from their department when one of their patients dies. As we did
22 not specify which psychological support the participants would like to receive or which
23 support they are currently lacking, we consulted available studies and recommendations
24 on varying types of psychological support in a NICU such as debriefings and counselling
25 sessions. However, future studies should inquire about the specific nature and content of
26 the psychological support that is currently lacking for Flemish neonatologists and
27 neonatal nurses. Existing guidelines on neonatal end-of-life and palliative care already
28 provide suggestions for staff support, namely regular debriefings and counselling
29 sessions in order to prevent and counteract the negative consequences of stress (3). This
30 could not only benefit the personal and professional lives of staff by preventing burnout
31 and compassion fatigue (6), but might also improve their ability to care for, and show
32 empathy towards, both neonates and parents (12), thus improving the care and support
33 they provide (13).

34
35 Since only 45% of nurses felt that the treating physicians listen to their opinion regarding
36 ELDs and only 32% felt they can express any objections they might have, our study
37 indicates that nurses are often excluded from the decision-making process. We believe
38 that including nurses could increase the quality of these decisions, because they often
39 have more interaction with the infant and family than physicians do, and are therefore
40 more familiar with their wishes regarding the care and death of the child (1,14). Another
41 study indicated that higher levels of stress in nurses compared with physicians could
42 possibly be due to them having less impact on ELDs (15). We thus hypothesise that
43 including nurses in interdisciplinary ELD team meetings could possibly benefit the
44 nurses themselves by reducing moral distress caused by being excluded from the
45 decision-making.

46

1 Limitations of the study

2 Our study contacted all neonatologists and neonatal nurses working in all Flemish
3 NICUs, which is a strength. However, only about 50% completed our questionnaire and
4 we do not have demographic information about those who did not participate, or their
5 reasons for not doing so. Due to ethical considerations, we were unable to identify the
6 NICUs in which the respondents worked and are thus not able to identify which do or do
7 not provide adequate support to their staff. Lastly, we did not examine whether different
8 types of end-of-life decisions such as non-treatment decisions or drug administration with
9 or without an explicit life-shortening intention are associated with different perceived
10 stress levels or needs of psychological support. We therefore recommend future research
11 to examine whether different types of end-of-life decisions bring forth differences in
12 stress levels and whether or not they warrant different means of psychological support.

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21
22

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Table 1: demographics of neonatologists and neonatal nurses

	Neonatologists N= 52 (%)	Neonatal nurses N= 250 (%)
Sex		
Female	37 (71.2)	237 (95.2)
Male	15 (28.8)	12 (4.8)
Age		
< 30	12 (23.1)	75 (30.2)
30-39	15 (28.8)	65 (26.2)
40-49	11 (21.2)	53 (21.4)
≥ 50	14 (26.9)	55 (22.2)
Years of experience working in a NICU		
< 5 years	22 (42.3)	58 (23.3)
5-10 years	8 (15.4)	34 (13.7)
11-20 years	9 (17.3)	77 (30.9)
> 20 years	13 (25)	80 (32.1)
Function of physicians		N/A
Neonatologist	39 (75)	
Specialist in training	13 (25)	
Degree nurses	N/A	
Graduate		3 (1.2)
Bachelor		229 (92.3)
Master		16 (6.5)
Religion or beliefs		
Religious	28 (53.8)	164 (66.1)
Not religious	24 (46.2)	84 (33.9)
Belief that their religion or belief has impact on their attitudes towards ELDs		
Yes	13 (25.5)	45 (18.4)
No	38 (74.5)	200 (81.6)

Missing values: varied from 0% for sex, age, years of experience, function and to 1.9% in the impact of religion in neonatologists (n=52) and from 0.4% in sex and years of experience to 2% in the impact of religion in neonatal nurses (n=250)

1 **Table 2: proportion of neonatologists and neonatal nurses agreeing with**
 2 **psychological support items**

3

Item	Group	Disagree (%)	Neutral (%)	Agree (%)
Stress				
Taking decisions about the end of life causes me more stress than usual	Neonatologist	6 (11.8)	8 (15.7)	37 (72.5)
	Neonatal nurse	N/A	N/A	N/A
Being confronted with an end-of-life decision for a newborn baby in my department causes me more stress than usual ^c	Neonatologist	N/A	N/A	N/A
	Neonatal nurse	44 (17.7)	30 (12.1)	174 (70.2)
Psychological support by colleagues				
I feel that I am being supported by my colleagues in the decisions I make about my patients' end of life	Neonatologist	0 (0)	7 (13.7)	44 (86.3)
	Neonatal nurse	N/A	N/A	N/A
I have the feeling that the treating physician(s) listen to my opinion when an end-of-life decision is taken about a newborn baby with a serious condition ^b	Neonatologist	N/A	N/A	N/A
	Neonatal nurse	68 (27.3)	70 (28.1)	111 (44.6)
There are adequate possibilities offered by the department to express any protests I might have about end-of-life decisions ^d	Neonatologist	2 (3.9)	4 (7.8)	45 (88.2)
	Neonatal nurse	95 (38.5)	74 (30)	78 (31.6)
If something is bothering me about taking an end-of-life decision, I can talk to my colleagues about it	Neonatologist	0 (0)	3 (5.9)	48 (94.1)
	Neonatal nurse	N/A	N/A	N/A
If something is bothering me about a decision made about a patient's end of life, I can talk to my colleagues about it ^a	Neonatologist	N/A	N/A	N/A
	Neonatal nurse	8 (3.2)	11 (4.4)	231 (92.4)
If I don't agree with the outcome of a certain decision about a patient's end of life, I can opt to no longer be involved in that case ^a	Neonatologist	10 (19.6)	14 (27.5)	27 (52.9)
	Neonatal nurse	23 (9.2)	65 (26)	162 (64.8)
Professional psychological support				
I would like my department to offer more psychological help to staff when they are confronted with end-of-life decisions ^c	Neonatologist	6 (11.8)	16 (31.4)	29 (56.9)
	Neonatal nurse	38 (15.3)	61 (24.6)	149 (60.1)
I receive sufficient psychological support from my department after a patient has died in our department ^a	Neonatologist	13 (25.5)	17 (33.3)	21 (41.2)
	Neonatal nurse	85 (34)	40 (16)	125 (50)

All items were translated by a language editor

One neonatologist had missings on all psychological support items and was thus excluded from analysis.

^a No missing values in nurses ^b 0.4% missing values in nurses ^c 0.8% missing values in nurses ^d 1.2% missing values in nurses

4