

**Influence of preoperative pain, cognitions, and quantitative sensory testing measures on the effects of perioperative pain neuroscience education for people receiving surgery for lumbar radiculopathy**

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1 **Influence of preoperative pain, cognitions, and quantitative sensory testing measures**  
2 **on the effects of perioperative pain neuroscience education for people receiving surgery**  
3 **for lumbar radiculopathy: secondary analysis of a randomized controlled trial**

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60 study can be sent to the corresponding author and will be evaluated within the research consortium.  
61 If the request is deemed appropriate, the data can be shared for further research purposes.

62

63

1 ABSTRACT

2 **Objective:** To explore whether preoperative pain intensity, pain cognitions, and quantitative sensory  
3 measures influence the established effectiveness of perioperative pain neuroscience education (PPNE)  
4 on health-related quality of life at 1 year after surgery for lumbar radiculopathy.

5 **Design:** Secondary analysis of a triple-blinded randomized controlled trial.

6 **Methods:** Participants (n=90) were Dutch-speaking adults (18-65 years) who were scheduled for  
7 surgery for lumbar radiculopathy in 3 Belgian hospitals. They were randomized (1:1) to receive PPNE  
8 (n=41) or perioperative biomedical education (PBE; n=49). Linear mixed models were built for health-  
9 related quality of life (i.e., SF-6D utility values, Physical, and Mental Component of the Short-Form 36-  
10 item Survey) using the following independent variables: therapy, time, and preoperative scores for  
11 back, and leg pain intensity, pain catastrophizing, kinesiophobia, hypervigilance, and quantitative  
12 sensory measures.

13 **Results:** The impact of PPNE on SF-6D utility values over time was influenced by kinesiophobia (F=3.30;  
14 p=.02) and leg pain intensity (F=3.48; p=.02). Regardless of the intervention, back pain intensity  
15 negatively influenced SF-6D values over time (F=3.99; p=.009). The Physical Component scores were  
16 negatively impacted by back pain intensity (F=9.08; p=.003) and were influenced over time by leg pain  
17 intensity (F=2.87; p=.04). The Mental Component scores were negatively impacted by back pain  
18 intensity (F=6.64; p=.01), pain catastrophizing (F=5.42; p=.02), as well as hypervigilance (F=3.16; p=.03)  
19 and leg pain intensity (F=3.12; p=.03) over time.

20 **Conclusion:** PPNE may be more effective than PBE in improving postoperative health utility values in  
21 patients who reported higher kinesiophobia and leg pain intensity before surgery for lumbar  
22 radiculopathy.

23 **Keywords:** Lumbar surgery, kinesiophobia, pain catastrophizing, pain intensity, pain neuroscience  
24 education, quality of life

25 INTRODUCTION

26 In the past ten years, the benefits of perioperative pain neuroscience education (PPNE) for lumbar  
27 radiculopathy have been established.<sup>18,24,36</sup> Patients who received one preoperative session of PPNE  
28 reported more favorable surgical experience, short-term disability, and kinesiophobia and used fewer  
29 medical services in the year following surgery.<sup>24,36</sup> Adding a postoperative education session to a PPNE  
30 protocol for people undergoing surgery for lumbar radiculopathy was cost-effective when considering  
31 healthcare and productivity loss costs, and improve health-related quality of life (HRQoL) and pain  
32 cognitions following surgery.<sup>18</sup> However, the effect sizes were limited for PPNE on postoperative  
33 HRQoL.<sup>18</sup> Therefore, introducing this educational therapy to a select group of patients at risk for  
34 unfavorable outcomes following lumbar surgery may have merit.<sup>18</sup>

35 Patients with modifiable risk factors, such as high levels of maladaptive cognitions (e.g., pain  
36 catastrophizing, kinesiophobia) or indications of symptoms of central sensitization, might benefit more  
37 from PPNE.<sup>4,8,12,16,18,21</sup> Although potential moderators for the treatment success of pain neuroscience  
38 education (PNE) have been investigated in other populations, the factors that influence the  
39 effectiveness of PPNE in people with lumbar radiculopathy are currently unknown.<sup>5,17,26,27,39</sup>

40 Therefore, we aimed to explore the potential influence of preoperative pain intensity, pain cognitions,  
41 and quantitative sensory testing (QST) measures on the PPNE treatment effect on postoperative  
42 HRQoL 1 year following surgery for lumbar radiculopathy. We hypothesized that patients who reported  
43 high scores of the preoperative factors would have a greater improvement in their postoperative  
44 HRQoL following PPNE than those who reported low preoperative scores.

45

46 METHODS

47 This study was a retrospective secondary analysis of a multicenter randomized controlled trial  
48 comparing the treatment effect of PPNE versus perioperative biomedical education (PBE) in people

49 undergoing surgery for lumbar radiculopathy.<sup>18,19</sup> Data for the trial were collected between June 2016  
50 and March 2020 at the University Hospital Brussels, and the general hospitals of AZ Sint-Dimpna (Geel),  
51 and AZ Sint-Maarten (Mechelen), Belgium. Ethical approval was granted by the Commissions for  
52 Medical Ethics of all participating hospitals. The trial protocol was prospectively registered at  
53 ClinicalTrials.gov (NCT02630732) and has been published elsewhere.<sup>19</sup> No changes to the protocol  
54 were made following the start of the trial.

## 55 ***Participants***

56 The original trial included 120 participants who fulfilled the following inclusion criteria: 18-65 years  
57 old; able to read and speak Dutch; continuing usual care 3 weeks pre-surgery; and scheduled to  
58 undergo surgery for lumbar radiculopathy. Participants were excluded if they were pregnant or gave  
59 birth during the year preceding surgery; scheduled for surgery for another condition; or diagnosed  
60 with a rheumatoid, endocrinological, neurological, psychiatric, or other chronic disorder or illness  
61 characterized by uncontrolled pain. Following inclusion, all participants gave written informed consent  
62 and were randomized to one of two treatment groups using a parallel allocation ratio of 1:1.  
63 Participants, assessors and statisticians were blinded for intervention allocation. Though participants  
64 were aware of the type of education they received, they did not know whether it was the experimental  
65 (PPNE) or control (PBE) intervention. Further details regarding the sample size calculation, blinding,  
66 and randomization can be found in the protocol published elsewhere.<sup>19</sup>

67 Participants who were uncomfortable with the electric QST protocol did not participate in the  
68 complete data collection procedure, leaving some missing data. Consequently, missing QST data were  
69 considered to be Missing Not At Random. Therefore, people with missing QST data were excluded from  
70 the analysis, resulting in 90 included participants (control group n=49; experimental group n=41).

## 71 ***Outcome measures***

### 72 Dependent variables

73 HRQoL was assessed using the 36-item Short-Form Health Survey (SF-36) before surgery (baseline) and  
74 at 6 weeks, 26 weeks, and 52 weeks following surgery. Both the Physical (PC) and Mental Component  
75 (MC) scores were calculated.<sup>42</sup> Validity and reliability for the Dutch SF-36 were satisfactory.<sup>2</sup> Health  
76 utility values (SF-6D) were calculated, though transforming multidimensional SF-36 scores to a single  
77 index SF-6D score results in a small loss in discriminative and evaluative properties.<sup>7,29</sup>

#### 78 Moderating variables

79 Mean pain intensity was assessed for both leg and back pain via a Visual Analogue Scale (VAS).<sup>44</sup>  
80 Evaluated pain cognitions included kinesiophobia (i.e., fear of movement), pain catastrophizing (i.e.,  
81 catastrophic thoughts and feelings regarding pain), and hypervigilance (i.e., attention to, and  
82 awareness, vigilance, and observation of pain) which were assessed using questionnaires validated for  
83 the Dutch-speaking population.<sup>32-34,37,38,40,43</sup> The Tampa Scale for Kinesiophobia (TSK), Pain  
84 Catastrophizing Scale (PCS), and the Pain Vigilance and Awareness Questionnaire (PVAQ) were used to  
85 measure kinesiophobia, pain catastrophizing, and hypervigilance, respectively.<sup>32-34,37,38,40,43</sup> QST  
86 measures were evaluated at both ankles (N. suralis) and the wrist ipsilateral to the symptomatic leg  
87 (N. medianus). An electrical pain threshold was determined, and a Temporal Summation protocol (i.e.,  
88 a correlate for nociceptive facilitation) and Conditioned Pain Modulation paradigm (i.e., a correlate for  
89 the level of endogenous nociceptive inhibition) were performed.<sup>10,15,41,45,46</sup> For the latter two, absolute  
90 and relative (percent change) differences were calculated, with more positive values indicating  
91 stronger facilitation and more negative values indicating stronger stimulus inhibition.<sup>45,46</sup> Details  
92 regarding the QST measures can be found in the published protocol.<sup>19</sup> All secondary outcome  
93 measures, together with demographic variables (i.e., age, sex, educational level, equivalent income  
94 category), were assessed at baseline in the week before surgery.

#### 95 **Intervention**

96 The experimental group received PPNE, which aimed to address potential maladaptive pain cognitions  
97 by informing participants about what to expect from their surgical experience and recovery and



98 educating them on the neurophysiology of pain and potential sustaining factors of central  
99 sensitization.<sup>22,23</sup> The control intervention (PBE) focused on educating participants on the anatomy and  
100 biomechanics of the lumbar spine and providing ergonomic advice on participants' activities of daily  
101 living.<sup>13,31</sup> Both intervention arms included 1 preoperative educational session, an educational booklet,  
102 and 1 postoperative educational session. Each session was provided by a physiotherapist, lasted  
103 approximately 1 hour, and was tailored to the participants' situation. More information regarding the  
104 interventions can be found in **Table S1** and has been published elsewhere.<sup>14,19</sup>

### 105 ***Statistical analysis***

106 First, data from the QST measures were standardized, and a principal component analysis with  
107 VARIMAX-rotation was used to reduce the number of measures.<sup>11,20,30</sup> The principal component  
108 analysis included the QST results of all 3 tests for the 3 test sites. Absolute difference scores were used  
109 for the Temporal Summation protocol, while relative difference scores were included for the  
110 Conditioned Pain Modulation paradigm. Relevant QST components were selected via a scree plot.<sup>20</sup>

111 Following analysis, the 9 included QST measures were reduced to 3 components. Next, linear mixed  
112 models were built for the SF-6D, the SF-36 PC, and the SF-36 MC using the following independent  
113 variables: therapy (PPNE or PBE), time (in weeks), and baseline scores for VAS<sub>Leg</sub>, VAS<sub>Back</sub>, TSK, PCS,  
114 PVAQ, and QST components. Additionally, age, sex, educational level, and equivalent income category  
115 were included as control variables. Each base model consisted of the control variables, and the three-  
116 way interaction effects of VAS<sub>Leg</sub>, VAS<sub>Back</sub>, TSK, PCS, PVAQ, and QST components with time and therapy.  
117 Random intercepts and slopes were evaluated for each model and only included when they improved  
118 the model's fit. A backward elimination procedure was used to omit the least significant interaction or  
119 main effect from the model at each step. Only the two-way therapy\*time interaction effect was forced  
120 in each model. All analyses were performed in R Studio Version 1.4.1717 (R version 4.1.1, Boston, MA,  
121 USA).

122

123 RESULTS

124 After excluding participants with missing QST data, this secondary analysis included data from 90  
125 participants (**FIGURE S1**). Demographic and baseline characteristics are shown in **TABLE 1**. For the 30  
126 excluded participants, demographic and baseline characteristics are shown in **TABLE S2**.

127 Following the principal component analysis, the 9 included QST measures were reduced to 3  
128 components. The cumulative explained variance was 75.1%, with the first to third components  
129 capturing 27.0%, 26.7%, and 21.4%, respectively. All variables and their loadings are presented in  
130 **TABLE S3**.

131 *Linear mixed models*

132 The final model for the SF-6D included a random intercept with an estimate of variance in intercept  
133 across participants ( $\text{Var}_{\text{int}}$ ) of 0.003 (standard deviation ( $\text{SD}_{\text{int}}$ )=0.05) and residual variance ( $\text{Var}_{\text{Res}}$ ) of  
134 0.004 ( $\text{SD}_{\text{Res}}$ =0.06). Details regarding the full model are presented in **TABLE 2.a**. There were significant  
135 three-way interaction effects for preoperative TSK scores (therapy\*time\*TSK;  $F_{3, 226.28}=3.30$ ;  $p=0.02$ )  
136 and leg pain intensity (therapy\*time\* $\text{VAS}_{\text{Leg}}$ ;  $F_{3, 224.88}=3.48$ ;  $p=0.02$ ). The effect sizes were -0.004, -  
137 0.011, and -0.002 for the three-way interaction effect between therapy, time, and TSK for the 6-week,  
138 6-month, and 1-year follow-up, respectively. Per point reported on the TSK at baseline, people who  
139 received PPNE scored 0.004, 0.011, and 0.002 points less on the SF-6D on the respective follow-up  
140 times compared to those who received PBE.

141 The results of the full model indicated that in people with high baseline TSK scores, PPNE was more  
142 effective than PBE in improving SF-6D values over time. Also, in people who reported higher  
143 preoperative  $\text{VAS}_{\text{Leg}}$  scores, PPNE led to a greater improvement in SF-6D values than PBE. Specifically,  
144 patients who received PPNE increased their SF-6D values with 0.0006, 0.0021, and 0.0021 per point  
145 they reported extra on the  $\text{VAS}_{\text{Leg}}$  for the 6-week, 6-month, and 1-year follow-up, respectively,  
146 compared to those who received PBE. There was a significant two-way interaction effect of  
147 time\* $\text{VAS}_{\text{Back}}$  ( $F_{3, 229.22}=3.99$ ;  $p=0.009$ ) suggesting that back pain intensity negatively influenced SF-6D

148 values over time regardless of the received education. The three-way and two-way interaction effects  
149 are presented in **FIGURES 1, 2** and **S2**, respectively.

150 In the final random-intercept model for the SF-36 PC ( $\text{Var}_{\text{Int}}=2784$ ;  $\text{SD}_{\text{Int}}=52.77$ ;  $\text{Var}_{\text{Res}}=2566$ ;  
151  $\text{SD}_{\text{Res}}=50.66$ ), there was significant two-way interaction effect for time\* $\text{VAS}_{\text{Leg}}$  ( $F_{1, 226.37}=2.87$ ;  $p=0.04$ ).  
152 Following both interventions, people who reported higher baseline  $\text{VAS}_{\text{Leg}}$  scores had a greater  
153 increase in SF-36 PC scores over time than those who reported lower baseline  $\text{VAS}_{\text{Leg}}$  scores. Also, a  
154 main effect of  $\text{VAS}_{\text{Back}}$  ( $F_{1, 96.86}=9.08$ ;  $p=0.003$ ) was found, indicating that back pain intensity negatively  
155 influenced the SF-36 PC scores regardless of time and therapy (**TABLE 2.b** and **FIGURE S3**).

156 For the SF-36 MC, the final model included a random intercept ( $\text{Var}_{\text{Int}}=2088$ ;  $\text{SD}_{\text{Int}}=45.70$ ;  $\text{Var}_{\text{Res}}=2948$ ;  
157  $\text{SD}_{\text{Res}}=54.30$ ) and significant two-way interaction effects for time\*PVAQ ( $F_{3, 226.36}=3.16$ ;  $p=0.03$ ) and  
158 time\* $\text{VAS}_{\text{Leg}}$  ( $F_{3, 227.39}=3.12$ ;  $p=0.03$ ). The overall improvement in SF-36 MC scores for both intervention  
159 groups was greater in those who reported higher preoperative  $\text{VAS}_{\text{Leg}}$  and PVAQ scores than those who  
160 reported lower scores. There were significant main effects of preoperative PCS ( $F_{1, 91.11}=5.42$ ;  $p=0.02$ )  
161 and  $\text{VAS}_{\text{Back}}$  scores ( $F_{1, 96.45}=6.64$ ;  $p=0.01$ ) with both factors negatively influencing the SF-36 MC scores,  
162 regardless of time and therapy. **TABLE 2.c** and **FIGURE S4** present the SF-36 MC model.

163

## 164 DISCUSSION

165 We explored the potential influence of preoperative pain intensity, pain cognitions, and QST measures  
166 on the treatment effect of PPNE on postoperative HRQoL 1 year following surgery in people with  
167 lumbar radiculopathy. Specifically, we hypothesized that patients who reported high scores of the  
168 preoperative factors would show a greater improvement in their postoperative HRQoL following PPNE  
169 than those who reported low preoperative scores.

170 Our results support the hypothesis of a moderating influence of preoperative kinesiophobia levels on  
171 the treatment effect of PPNE on postoperative SF-6D scores. Given that the minimal important

172 difference (MID) for SF-6D scores was 0.027, we suggest that a difference of 3 points on the TSK at  
173 baseline leads to a clinically meaningful difference at 6 months following PPNE.<sup>25</sup> Though patients who  
174 reported the highest TSK score of our sample (i.e., 55) still reported lower SF-6D values compared to  
175 those who reported the lowest TSK scores (i.e., 28), PPNE seemed to minimize this difference as the  
176 former group nearly reached identical 1-year follow-up values as the latter following PPNE (see **FIGURE**  
177 **2**). Earlier studies that identified kinesiophobia as a moderator for PNE therapies in non-surgical  
178 populations suffering from chronic pain support our results.<sup>27,39</sup> For example, a study in people with  
179 chronic spinal pain reported a similar finding for PNE combined with cognition-targeted exercises.<sup>39</sup>  
180 However, kinesiophobia only moderated the treatment effect on the SF-36 MC score.<sup>39</sup> Nevertheless,  
181 PPNE, compared to PBE, seems better equipped to limit the unfavorable influence of preoperative  
182 kinesiophobia on postoperative health utility scores in people undergoing surgery for lumbar  
183 radiculopathy.

184 There were also significant effects for preoperative pain catastrophizing and hypervigilance on  
185 postoperative mental health, irrespective of the treatment received. People who reported higher  
186 preoperative pain catastrophizing levels also reported lower SF-36 MC scores following surgery. Due  
187 to the significant time-interaction effect, the influence of preoperative hypervigilance is more complex.  
188 In patients with higher preoperative hypervigilance, the SF-36 MC scores improved more compared to  
189 those with lower preoperative hypervigilance levels (see **FIGURE S4.b**). Though the former start with  
190 lower mental health scores, they end with similar scores as the latter group at 1-year follow-up. The  
191 lack of a moderating influence of these cognitive factors on the therapy effect agrees with the  
192 conclusion of a similar study in people undergoing total knee arthroplasty.<sup>6</sup> However, literature on this  
193 topic is still limited, underscoring the importance of the present work and future studies that address  
194 moderating effects on PPNE.

195 Remarkably, though SF-6D utility scores are often regarded as a single utility measure that accounts  
196 for both physical, mental, and social health, hypervigilance and catastrophizing did not show a

197 significant influence on these scores, while they did influence postoperative mental health scores. It is  
198 possible that the moderating effect of kinesiophobia was stronger and thus overpowered the  
199 individual effects of catastrophizing and hypervigilance on the SF-6D scores. On the other hand, as  
200 kinesiophobia was present in the final SF-6D model, we might expect it to influence either SF-36  
201 Component scores. However, no effect of kinesiophobia was found for the models of the Component  
202 scores. Kinesiophobia might moderate the influence of PPNE on an underlying concept related to  
203 different subscales but which is not present to that extent in the Component scores. Further research  
204 is needed to explore the potential interaction between all three cognitions while influencing the  
205 treatment effect of PPNE in people receiving surgery for lumbar radiculopathy.

206 We found significant effects for pain intensity. For preoperative back pain intensity, only time-  
207 interaction and main effects were found for all three models. Patients with higher levels of back pain  
208 intensity report lower scores for their postoperative HRQoL than those who reported lower  
209 preoperative values of back pain intensity, regardless of the received intervention. Our work agrees  
210 with earlier studies discussing unfavorable surgical outcomes in patients with increased preoperative  
211 back pain intensity.<sup>1,3,28</sup>

212 There was a moderating effect for leg pain intensity in the final model for SF-6D. In patients who  
213 received PPNE compared to those who got PBE, the more leg pain intensity they reported before  
214 surgery, the better their SF-6D values were following surgery. The SF-6D scores improved so well in  
215 patients who reported the maximum VAS<sub>Leg</sub> score (i.e., 100) that their 1-year scores were comparable  
216 to those of the participants who reported no preoperative leg pain. This indicates that PPNE is more  
217 effective than PBE for improving postoperative SF-6D scores in people who reported high preoperative  
218 leg pain intensity. In particular, the effect sizes for this three-way interaction effect (0.0006, 0.0021,  
219 and 0.0021) show that a difference of 13 points on the VAS<sub>Leg</sub> at baseline can result in a clinical  
220 difference in SF-6D scores (MID=0.027) at 6 months and 1 year following PPNE.<sup>25</sup> Also, significant two-  
221 way time\*leg pain intensity interaction effects were found for both SF-36 Component models. Thus,

222 preoperative leg pain intensity influences patients' postoperative physical and mental health,  
223 regardless of the received education.

224 No significant interaction or main effects were found for the QST measures. This result contradicts the  
225 findings of an earlier study evaluating a similar intervention in people scheduled for total knee  
226 arthroplasty, which reported QST measures as moderators for the treatment effect of PNE.<sup>17</sup> However,  
227 the assessment method of QST measures was also different. Whereas we focused on electrical stimuli,  
228 mechanical stimulation via pressure algometers was used to determine the QST measures in the earlier  
229 study.<sup>9</sup> Indeed, as different modalities for QST (e.g., electrical or mechanical stimuli) engage different  
230 nervous system pathways, this difference in assessment method might explain the discrepancy in  
231 results between both studies. Endogenous modulation (i.e., pain thresholds, facilitations, and  
232 inhibition) of electrical stimuli did not significantly impact the effectiveness of PPNE, while the earlier  
233 study concluded a significant moderating effect of the various measures related to the endogenous  
234 modulation of mechanical stimuli. We presume that QST measures, as assessed by electrical QST, do  
235 not moderate nor influence the treatment effect of PPNE on patients' HRQoL following surgery for  
236 lumbar radiculopathy.

237 Our findings are clinically relevant and provide novel insights into the moderating effect of  
238 preoperative kinesiophobia and leg pain intensity on the treatment outcome of PPNE in this  
239 population. Indeed, PPNE specifically targets patients' fears and beliefs; thus, more fearful patients  
240 might relate more to the given information than those with less kinesiophobia. This finding, combined  
241 with the knowledge that PPNE also decreases patients' kinesiophobia, provides further motivation to  
242 investigate whether changes in kinesiophobia levels mediate surgical outcome following PPNE.<sup>18</sup>  
243 Furthermore, both education programs focused mostly on lumbar radiculopathy, in other words, leg  
244 pain radiating down from the lower back. Consequently, patients who experienced more leg pain  
245 might identify more with the education focusing on pain (i.e., PPNE) rather than the one detailing the  
246 anatomical structure (i.e., PBE), compared to those patients who were less troubled by it.

247 Lumbar surgery provides an immediate reduction in leg pain intensity.<sup>35</sup> Thus, patients who experience  
248 this following surgery may be more susceptible to the information provided in the postoperative  
249 education session. A potential next step in research is to evaluate the effectiveness of PPNE in a  
250 prospectively group of at-risk patients undergoing surgery for lumbar radiculopathy in which  
251 kinesiophobia and leg pain intensity are considered risk factors.

252 We provide further evidence for the importance of preoperative pain intensity and pain cognitions for  
253 postoperative health-related quality of life in people undergoing lumbar surgery. However, our results  
254 regarding the moderating effects of leg pain intensity and kinesiophobia on PPNE cannot easily be  
255 extrapolated to other surgical populations, as the PPNE offered to the participants was specifically  
256 tailored to lumbar radiculopathy. Despite this, our findings motivate similar analyses exploring the  
257 moderating role of pretreatment pain intensity and pain cognitions for other PPNE-based therapies in  
258 similar surgical populations.

### 259 **Strengths and Limitations**

260 Study strengths include the multicenter, randomized nature of the study design, the a priori clinical  
261 trial registration and trial protocol publication, the balanced treatment arms, blinded outcome  
262 assessment, and 1-year follow-up. Our work also has some limitations. First, this is a secondary analysis  
263 of an earlier RCT, meaning the data were not intended to evaluate a potential moderating effect. Thirty  
264 participants were excluded due to missing QST data, which might have further limited the statistical  
265 power. As 1 in every 4 participants in the original trial were excluded from this analysis due to the  
266 missing QST data, the analysis should be considered per-protocol, limiting the external validity of our  
267 conclusions. Given the exploratory nature of the current analysis, there is a higher potential for bias.  
268 These limitations underscore the need for future studies to continue exploring such moderators, as  
269 these findings should still be confirmed in a study powered and designed to examine moderating  
270 effects. Next, as a principal component analysis reduced the number of included QST measures, some  
271 information might have been lost due to this analysis. However, as no relevant principal components

272 were included in the final models, this information loss would likely have been redundant to forming  
273 our conclusions.

274

## 275 CONCLUSION

276 Perioperative pain neuroscience education may be more effective than perioperative biomedical  
277 education in improving postoperative HRQoL in patients who reported higher preoperative scores of  
278 kinesiophobia and leg pain intensity than in those who reported lower scores. Regardless of the  
279 education program, both back and leg pain intensity significantly influenced patients' postoperative  
280 physical health, while pain catastrophizing, hypervigilance, back and leg pain intensity influenced  
281 postoperative mental health scores.

282

## 283 KEY POINTS

### 284 **Findings**

- 285 • Preoperative pain intensity and cognitions were important factors that influenced patients'  
286 health-related quality of life following surgery for lumbar radiculopathy.
- 287 • Preoperative kinesiophobia and leg pain intensity were moderators for the treatment effect  
288 of perioperative pain neuroscience education on health utility values in people with lumbar  
289 radiculopathy.

### 290 **Implications**

- 291 • Perioperative pain neuroscience education will be more effective for improving postoperative  
292 physical health-related quality of life in patients with high scores for kinesiophobia and leg pain  
293 intensity before undergoing surgery for lumbar radiculopathy.

### 294 **Caution**



295 • Given our limited sample size, further research is needed to confirm these exploratory findings in  
296 a study that is sufficiently powered and prospectively designed to examine moderating effects.

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**TABLE 1.** Characteristics of participants with lumbar radiculopathy (n=90)

	<b>Mean (SD)</b>	<b>Median (IQR) [Min; Max]</b>
Age (yrs)	46.71 (11.89)	48.00 (20.00) [20; 65]
SF-36 PC (/400)	142.17 (63.38)	123.00 (54.50) [62; 339]
SF-36 MC (/400)	222.16 (83.03)	232.50 (135.79) [11; 383]
SF-6D (/1)	.54 (.09)	.54 (.09) [.38; .78]
TSK (/68)	42.56 (5.91)	42.00 (9.00) [28; 55]
PCS (/52)	25.17 (9.52)	25.00 (12.00) [5; 46]
PVAQ (/80)	39.59 (10.91)	39.00 (15.50) [19; 68]
VAS <sub>Back</sub> (/100)	43.01 (26.90)	44.50 (48.50) [0; 99]
VAS <sub>Leg</sub> (/100)	53.22 (27.04)	53.00 (43.75) [0; 100]
EPT (mA)		
Median nerve	5.22 (3.32)	4.25 (3.79) [1.17; 17.50]
Symptomatic Sural nerve	7.92 (3.99)	7.00 (4.46) [2.00; 23.00]
Asymptomatic Sural nerve	7.79 (3.55)	6.92 (4.79) [2.17; 19.33]
TS absolute (/10)		
Median nerve	1.52 (2.16)	1.00 (3.00) [-5; 6]
Symptomatic Sural nerve	2.16 (2.36)	2.00 (4.00) [-4; 9]
Asymptomatic Sural nerve	2.08 (2.20)	2.00 (4.00) [-6; 8]
CPM relative (%)		
Median nerve	-12.67 (28.11)	-15.48 (33.33) [-66.67; 100.00]
Symptomatic Sural nerve	-5.80 (66.12)	-14.29 (33.33) [-100.00; 500.00]
Asymptomatic Sural nerve	-20.31 (37.74)	-20.00 (40.00) [-100.00; 133.33]
		<b><u>N (%)</u></b>
Therapy		
PPNE		41 (45.56)
PBE		49 (54.44)
Symptom duration		
< 3 months		25 (27.78)
≥ 3 months		65 (72.22)
Symptomatic side		
Right		43 (47.78)
Left		47 (52.22)
Sex		
Male		48 (53.33)
Female		42 (46.67)
Education level		
Primary/low secondary school		26 (28.89)
High secondary school		35 (38.89)
High education		29 (32.22)
Equivalent income category		
No data		5 (5.56)
Low equivalent income		25 (27.78)
Moderate equivalent income		39 (43.33)
High equivalent income		21 (23.33)

N= Number of participants; SD= Standard deviation; IQR= Interquartile range; yrs= years; SF-36= Short Form 36-item Health Survey; PC= Physical Component; MC = Mental Component; SF-6D= Short Form Health Utility Score; TSK= Tampa Scale for Kinesiophobia; PCS= Pain Catastrophizing Scale; PVAQ= Pain Vigilance and Awareness Questionnaire; VAS= Visual Analogue Scale; EPT= Electrical Pain Threshold; mA= milliamps; TS= Temporal Summation, the effect is calculated as the absolute difference between the Numeric Rating Scale scores of the 20th and 1st stimulus of the TS-protocol; CPM= Conditioned Pain Modulation, the effect is calculated as the relative difference between the Numeric Rating Scale scores of the first and second part of the CPM-paradigm; PPNE= Perioperative Pain Neuroscience Education; PBE= Perioperative Biomedical Education.

**TABLE 2.a** Results of the linear mixed model analysis showing the estimates of the fixed effects and their confidence intervals for the final model for the Short Form-6 Dimensions Health Utility Values in people undergoing surgery for lumbar radiculopathy (n=90).

<b>Independent variables</b>	<b>Estimate</b>	<b>SE</b>	<b>95% CI</b>	<b>p-value</b>	<b>Type III test</b>
(Intercept)	0.83	0.09	0.66 to 1.00	≤.001***	
Therapy	-0.30	0.13	-0.55 to -0.04	.026*	F=1.97; p=.16
Time [6]	0.05	0.10	-0.14 to 0.25	.579	
Time [26]	-0.01	0.10	-0.21 to 0.19	.918	F=3.81; p=.01**
Time [52]	0.22	0.10	0.03 to 0.42	.026*	
TSK	-0.006	0.002	-0.010 to -0.002	.005**	F=6.38; p=.01**
VAS <sub>Back</sub>	-0.0005	0.0003	-0.0012 to 0.0001	.115	F=16.46; p≤.001***
VAS <sub>Leg</sub>	-0.0002	0.0004	-0.0011 to 0.0007	.635	F=0.82; p=.37
Therapy * Time [6]	0.15	0.15	-0.15 to 0.45	.331	
Therapy * Time [26]	0.39	0.16	0.08 to 0.69	.013*	F=2.36; p=.07
Therapy * Time [52]	0.05	0.16	-0.25 to 0.36	.735	
Therapy * TSK	0.008	0.003	0.002 to 0.014	.013*	F=2.03; p=.16
Time [6] * TSK	-0.000	0.002	-0.005 to 0.004	.966	
Time [26] * TSK	0.003	0.002	-0.001 to 0.008	.132	F=0.62; p=.60
Time [52] * TSK	-0.001	0.002	-0.005 to 0.004	.734	
Time [6] * VAS <sub>Back</sub>	-0.0011	0.0004	-0.0018 to -0.0003	.009**	
Time [26] * VAS <sub>Back</sub>	-0.0001	0.0004	-0.0010 to 0.0007	.718	F=3.99; p=.009**
Time [52] * VAS <sub>Back</sub>	-0.0011	0.0004	-0.0020 to -0.0003	.008**	
Therapy * VAS <sub>Leg</sub>	-0.0011	0.0007	-0.0025 to 0.0002	.094	F=0.01; p=.93
Time [6] * VAS <sub>Leg</sub>	0.0006	0.0005	-0.0004 to 0.0016	.238	
Time [26] * VAS <sub>Leg</sub>	-0.0002	0.0005	-0.0012 to 0.0009	.747	F=2.41 p=.07
Time [52] * VAS <sub>Leg</sub>	-0.0007	0.0005	-0.0017 to 0.0004	.205	
(Therapy * Time [6])* TSK	-0.004	0.004	-0.011 to 0.004	.318	
(Therapy * Time [26])* TSK	-0.011	0.004	-0.019 to -0.004	.003**	F=3.30; p=.02*
(Therapy * Time [52])* TSK	-0.002	0.004	-0.010 to 0.005	.513	
(Therapy * Time [6])* VAS <sub>Leg</sub>	0.0006	0.0008	-0.0009 to 0.0022	.422	
(Therapy * Time [26])* VAS <sub>Leg</sub>	0.0021	0.0008	0.0005 to 0.0037	.010**	F=3.48; p=.02*
(Therapy * Time [52])* VAS <sub>Leg</sub>	0.0021	0.0008	0.0005 to 0.0037	.009**	

95% CI= 95% Confidence Interval; n= Number of participants; SE= Standard error; Therapy= Perioperative Biomedical Education (reference category), Perioperative Pain Neuroscience education; Time= Baseline (reference category), 6 weeks follow-up [6], 26 weeks follow-up [26], 52 weeks follow-up[52]; TSK= Tampa Scale for Kinesiophobia; VAS= Visual Analogue Scale. Significant fixed effects for independent variables are shown with an asterisk. (\*:p≤.05; \*\*:p≤.01;\*\*\*:p≤.001).

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**TABLE 2.b** Results of the linear mixed model analysis showing the estimates of the fixed effects and their confidence intervals for the final model for the Physical Component Score of the Short Form 36 item Health Survey in people undergoing surgery for lumbar radiculopathy (n=90).

<b>Independent variables</b>	<b>Estimate</b>	<b>SE</b>	<b>95% CI</b>	<b>p-value</b>	<b>Type III test</b>
(Intercept)	215.57	20.14	175.94 to 255.20	≤.001***	
Therapy	-19.41	15.49	-49.89 to 11.08	.211	F=0.29; p=.59
Time [6]	3.04	18.88	-34.12 to 40.19	.872	
Time [26]	53.88	18.97	16.54 to 91.22	.005**	F=13.34; p≤.001***
Time [52]	71.69	19.02	34.26 to 109.12	<.001***	
VAS <sub>Back</sub>	-0.74	0.25	-1.23 to -0.26	.003**	F=9.08; p=.003**
VAS <sub>Leg</sub>	-0.61	0.29	-1.18 to -0.04	.035*	F=0.32; p=.57
Time [6] * Therapy	22.61	16.09	-9.06 to 54.28	.161	
Time [26] * Therapy	26.53	16.66	-6.27 to 59.32	.112	F=3.72; p=.01**
Time [52] * Therapy	56.16	16.83	23.03 to 89.29	.001***	
Time [6] * VAS <sub>Leg</sub>	0.73	0.30	0.14 to 1.33	.016*	
Time [26] * VAS <sub>Leg</sub>	0.78	0.31	0.18 to 1.38	.011*	F=2.87; p=.04*
Time [52] * VAS <sub>Leg</sub>	0.39	0.31	-0.22 to 1.00	.208	

95% CI= 95% Confidence Interval; n= Number of participants; SE= Standard error; Therapy= Perioperative Biomedical Education (reference category), Perioperative Pain Neuroscience education ; Time= Baseline (reference category), 6 weeks follow-up [6], 26 weeks follow-up [26], 52 weeks follow-up[52]; VAS= Visual Analogue Scale. Significant fixed effects for independent variables are shown with an asterisk. (\*:p≤.05; \*\*:p≤.01;\*\*\*:p≤.001).

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**TABLE 2.c** Results of the linear mixed model analysis showing the estimates of the fixed effects and their confidence intervals for the final model for the Mental Component Score of the Short Form 36 item Health Survey in people undergoing surgery for lumbar radiculopathy (n=90).

<b>Independent variables</b>	<b>Estimate</b>	<b>SE</b>	<b>95% CI</b>	<b>p-value</b>	<b>Type III test</b>
(Intercept)	406.86	30.88	346.08 to 467.64	≤.001***	
Therapy	-2.75	15.08	-32.43 to 26.92	.855	F=2.71; p=.10
Time [6]	-82.55	33.95	-149.37 to -15.72	.016*	
Time [26]	-48.12	34.66	-116.33 to 20.09	.166	F=1.48; p=.22
Time [52]	-44.62	34.89	-113.30 to 24.06	.202	
PCS	-1.82	0.78	-3.35 to -0.28	.021*	F=5.42; p=.02*
PVAQ	-2.23	0.78	-3.77 to -0.69	.005**	F=2.26; p=.13
VAS <sub>Back</sub>	-0.61	0.24	-1.08 to -0.14	.010**	F=6.64; p=.01**
VAS <sub>Leg</sub>	-0.44	0.30	-1.02 to 0.15	.142	F=0.01; p=.94
Time [6] * Therapy	32.74	17.19	-1.09 to 66.56	.058	
Time [26] * Therapy	9.36	17.79	-25.66 to 44.39	.599	F=2.88; p=0.04*
Time [52] * Therapy	47.19	17.97	11.81 to 82.56	.009**	
Time [6] * PVAQ	0.80	0.82	-0.81 to 2.41	.330	
Time [26] * PVAQ	2.42	0.85	0.76 to 4.09	.005**	F=3.16; p=.03*
Time [52] * PVAQ	1.80	0.86	0.11 to 3.49	.037*	
Time [6] * VAS <sub>Leg</sub>	1.02	0.33	0.36 to 1.68	.003**	
Time [26] * VAS <sub>Leg</sub>	0.38	0.34	-0.29 to 1.05	.267	F=3.12; p=.03*
Time [52] * VAS <sub>Leg</sub>	0.42	0.35	-0.26 to 1.11	.228	

95% CI= 95% Confidence Interval; n= Number of participants; SE= Standard error; PCS= Pain Catastrophizing Scale; PVAQ= Pain Vigilance and Awareness Questionnaire; Therapy= Perioperative Biomedical Education (reference category), Perioperative Pain Neuroscience education ; Time= Baseline (reference category), 6 weeks follow-up [6], 26 weeks follow-up [26], 52 weeks follow-up[52]; VAS= Visual Analogue Scale. Significant fixed effects for independent variables are shown with an asterisk. (\*:p≤.05; \*\*:p≤.01;\*\*\*:p≤.001).

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448 **FIGURE 1.** Results of the linear mixed model analysis showing the three-way interaction effect with 95% confidence intervals  
 449 for therapy\*time\*preoperative leg pain of the final model for the Short Form-6 Dimensions Health Utility Values (SF6D) in  
 450 people undergoing surgery for lumbar radiculopathy. The predictive values are presented over time per therapy group,  
 451 Perioperative Pain Neuroscience Education (PPNE) versus Perioperative Biomedical Education (PBE), for patients with  
 452 minimum (0) and maximum (100) scores on the Visual Analogue Scale (VAS) for leg pain intensity (n=90).

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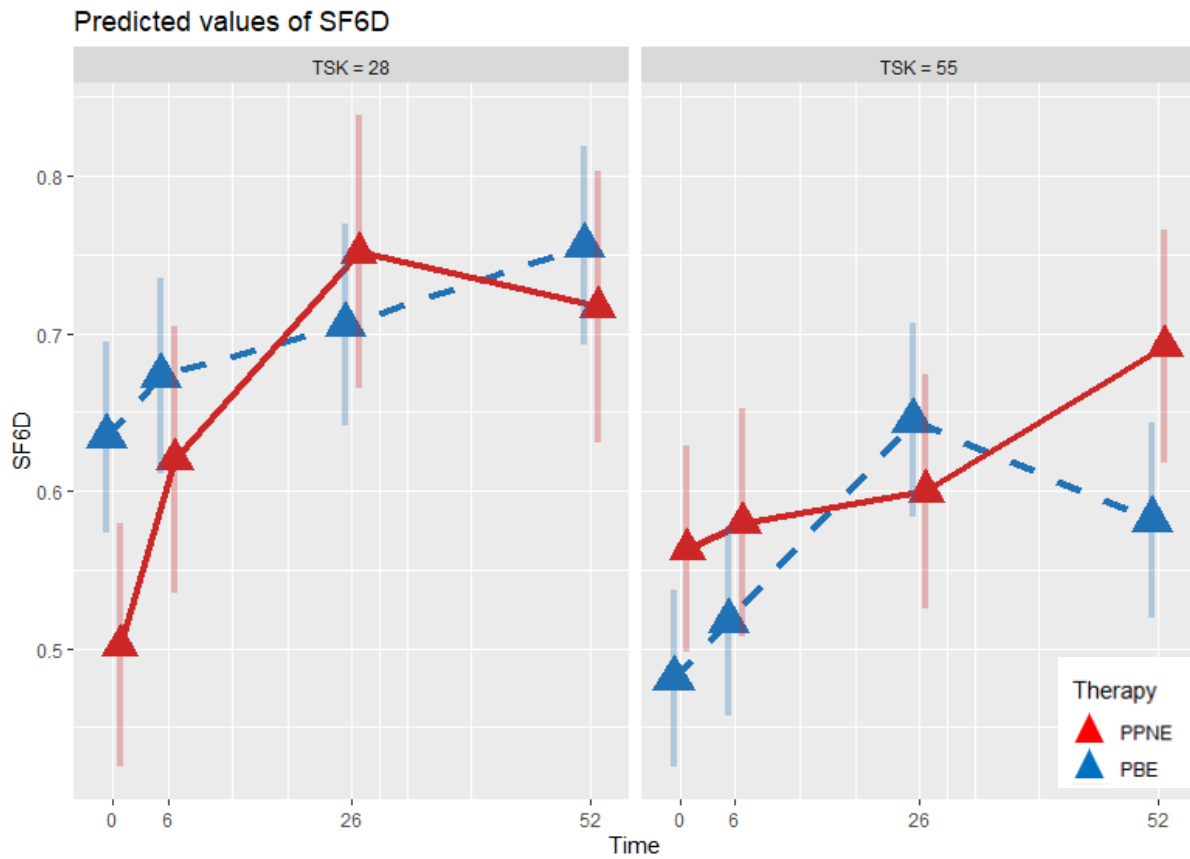
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462 **FIGURE 2.** Results of the linear mixed model analysis showing the three-way interaction effect with 95% confidence intervals  
 463 for therapy\*time\*preoperative levels of kinesiophobia of the final model for the Short Form-6 Dimensions Health Utility  
 464 Values (SF6D) in people undergoing surgery for lumbar radiculopathy. The predictive values are presented over time per  
 465 therapy group, Perioperative Pain Neuroscience Education (PPNE) versus Perioperative Biomedical Education (PBE), for  
 466 patients with minimum (28) and maximum (55) scores on the Tampa Scale for Kinesiophobia (TSK) (n=90).

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